

**MAIL STOP ISSUE FEE**



**PATENT**

Agent's Docket No. 13306-US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of )

OUELLET, Luc et al. )

Serial No: 09/867,662 )

Art Unit: 1762

Filed: 31 May 2001 )

Examiner: TALBOT, Brian K.

For: **METHOD OF DEPOSITING OPTICAL FILMS**

December 15, 2004

Commissioner for Patents  
U.S. Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL LETTER**

Sir:

Please find enclosed for filing:

- Transmittal of Information Disclosure Statement Form
- Information Disclosure Statement By Applicant – Form PTO/SB/08a  
(with prior art documents attached)
- Certificate Under 37 CFR 1.97(e)

Respectfully submitted,

BY: 

Richard J. Mitchell  
Reg. No. 34,519  
Agent of Record

MARKS & CLERK  
P. O. Box 957, Station B  
Ottawa, Ontario Canada K1P 5S7 (613) 236-9561

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b))

Docket No.

11471-US

In Re Application Of: Luc OUELLET, et al.

Serial No.

09/867,662

Filing Date

31 May 2001

Examiner

TALBOT, Brian K.

Group Art Unit

1762

Title:

METHOD OF DEPOSITING OPTICAL FILMS

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97 (b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97 (c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

- ☒ a certification as specified in 37 CFR 1.97(e);

~~OR~~ AND

- ☒ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(a) of 1.97(b))

Docket No.  
11471-US

In Re Application Of: Luc OUELLET, et al

Serial No.

09/867,662

Filing Date

31 May 2001

Examiner

TALBOT, Brian K.

Group Art Unit

1762

Title:

METHOD OF DEPOSITING OPTICAL FILMS

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 13-1717 as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of \$180.00
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_) on \_\_\_\_\_

(Date)

Signature

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I certify that this document and fee being deposited on \_\_\_\_\_ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

\*This certificate may only be used if paying by deposit account.



Signature

Dated: 15 December 2004

Richard J. Mitchell

34,519

Marks & Clerk Canada  
P.O. Box 957  
Station B  
Ottawa, ON, K1P 5S7  
Phone: (613) 236-9561



\* 2 3 5 5 3 \*

Substitute for form 1449A/PTO

**(Use as many sheets as necessary)**

Sheet	1	of	2
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Application Number	09/867,662
Filing Date	31 May 2001
First Named Inventor	Luc OUELLET
Art Unit	1762
Examiner Name	TALBOT, Brian K.
Attorney Docket Number	11471-IJS

[illegible]

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		WO 97/25636	07-17-1997	Northern Telecom Ltd		
		JP10319263A2	12-04-1998	Hitachi Cable Ltd.		
		JP03274272 A	12-15-1991	Matushita Denki Sang		

**Examiner  
Signature**

Date  
Considered

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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Substitute for form 1449B/PTO

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 2 of 2

**Complete if Known**

Application Number	09/867,662
Filing Date	31 May 2001
First Named Inventor	Luc OUELLET
Art Unit	1762
Examiner Name	TALBOT, Brian K.
Attorney Docket Number	11471-US

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		HSEIH, S.W. et al: Characteristics of Low-Temperature and Low-Energy Plasma-Enhanced Chemical Vapor Deposited SiO <sub>2</sub> , August 1993, 2638-2648, Vol. 74, No 4., American Institute of Physics, Republic of China	
		KIM, Kihong, et al.: Properties of Low Dielectric Constant Fluorinated Silicon Oxide Films Prepared by Plasma Enhanced Chemical Vapor Deposition, 1998, 369-374, Elsevier Science S.A., Baton Rouge, LA.	
		GRAND, G. et al.: Low-Loss PECVD Silica Channel Waveguides For Optical Communications, Electronic Letters, 6 December 1990, Vol. 26, No. 25, France	

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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December 20, 2004

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U.S. Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450**

**CERTIFICATE UNDER 37CFR 1.97(e)**

Sir:

The applicants are submitting herewith an Information Disclosure Statement. The undersigned certifies that the information contained in the attached Information Disclosure Statement was cited in a Communication from a foreign Patent Office, in a counterpart foreign application, namely the Japanese Search Report received from the Japanese Patent Office dated November 2, 2004.

This application was allowed on November 2, 2004 and is therefore due for payment of the issue fee prior to February 2, 2005.

Respectfully submitted,

BY: 

**Richard J. Mitchell  
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Agent of Record**

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